



## Form ADV Part 2B – Brochure Supplement

for

**Robert Spaulding Showalter**

**Effective: March 1, 2019**

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Robert Spaulding Showalter (CRD# 4909334) in addition to the information contained in the The Main Street Group, LTD (“Main Street Group” or the “Advisor”, CRD# 175502) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Main Street Group Disclosure Brochure or this Brochure Supplement, please visit our website ([www.themainstreetgroup.com](http://www.themainstreetgroup.com)) or call our office at 804 270 4470.

Additional information about Mr. Showalter is available on the SEC’s Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or his Individual CRD# 4909334.

## **Item 2 - Educational Background and Business Experience**

This section of the brochure supplement includes the supervised person's name, age (or year of birth), formal education after high school, and business background (including an identification of the specific positions held) for the preceding five years.

Robert Spaulding Showalter

Year of birth: 1962

### **Education**

The following information details your Financial Advisor's formal education. If a degree was attained, the type of the degree will be listed next to the name of the institution. If a degree is not listed, the Financial Advisor attended the institution but did not attain a degree.

Master of Business Administration, The College of William & Mary (1994)

Bachelor of Finance, Florida State University (1987)

### **Business Experience**

The following information details your Financial Advisor's business experience for at least the past 5 years.

#### **Employment History:**

Investment Advisor Representative, The Main Street Group, LTD	11/2015 to Present
Licensed Associate, The Main Street Group, LTD	05/2014 to 10/2015
Employee Financial Advisor, Merrill Lynch	12/2014 to 04/2014
Treasurer, Chief Financial Officer, Gallium Technologies	2010 - 2012
Northeast Florida Senior Fiduciary Sales Officer, Wachovia Trust	2004 - 2010
Senior Portfolio Manager & Vice President, PNC Advisors	2003 - 2004
Senior Portfolio Manager & Vice President, Private Client Services, SunTrust Banks	1999 - 2003
Portfolio Manager and Assistant Vice President, Tredegar Trust Company	1995 - 1999

### **Professional Designations**

### **Item 3 - Disciplinary Information**

Mr. Showalter has no legal, civil or disciplinary events that require disclosure.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. **As previously noted, there are no legal, civil or disciplinary events that require disclosure regarding Mr. Showalter.**

### **Item 4 - Other Business Activities**

### **Item 5 - Additional Compensation**

### **Item 6 - Supervision**

Mr. Showalter is supervised by Carol Beth Cook, the Chief Compliance Officer. Ms. Cook can be reached at 804-270-4470.

The Main Street Group has implemented a Code of Ethics and internal compliance that guide each Supervised Person in meeting their fiduciary obligations to Clients of Main Street Group. Further, The Main Street Group is subject to regulatory oversight by various agencies. These agencies require registration by The Main Street Group and its Supervised Persons. As a registered entity, The Main Street Group is subject to examinations by regulators, which may be announced or unannounced. The Main Street Group is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor

Mr. Showalter filed for Bankruptcy (Chapter 7), petitioned 3/15/2012. It was discharged 7/02/2012.